

01
MAY 12 2004
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): YAMAMOTO, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 2876



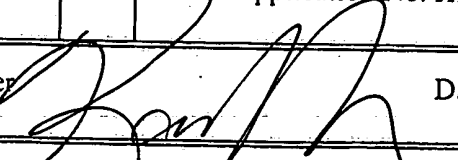
U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____	AF		
_____	AG		
_____	AH		
_____	AI		
_____	AJ		

OTHER DOCUMENTS

 	AK	"Multifunctional IC Card OS for EC and Reader/Writer Developed by IBM Japan"; <u>The Japan Industrial Journal</u> ; No. 23, Vol. 9, September 10, 1997, page 61.
	AL	Copy of Japanese Patent Office Decision of Rejection for corresponding Japanese Patent Application No. HEI-301498 dated December 2, 2003.
Examiner 		Date Considered 5/19/04



INFORMATION -DISCLOSURE CITATION PTO-1449	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): YAMAMOTO, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 2876

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (if appropriate)
<u>KDF</u>	AA	5,361,364	Nagashige, et al.	11/1/94	395/ 750	
<u>KDF</u>	AB	5,608,894	Kawakami, et al.	3/4/97	395/ 500	
	AC					

RECEIVED
OCT 27 2003
TECHNOLOGY CENTER 2800

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
<u>KDF</u> AD 10-247187	9/14/98	Japan	Yes
<u>KDF</u> AE 5-11898	1/22/93	Japan	No
<u>KDF</u> AF 9-128464	5/16/97	Japan	Yes
<u>KDF</u> AG 7-262025	10/13/95	Japan	No

OTHER DOCUMENTS

<u>KDF</u>	AH	"Notification of Reason(s) For Refusal" dated July 7, 2003, English translation (5 pgs. 1-5).
<u>KDF</u>	AI	"Control LSI For Electronic Money System", Proceedings of the 1997 Electronics Society Conference of IEICE, Vol. 1997, Yutaka TAKAMI, et al., September 3-6, 1997, Waseda University, Tokyo, pg. 106, English translation (3 pgs. 1-3).
<u>KDF</u>	AJ	"Digital Cash Technology, Chapter 17: Transaction Card From Citibank and Chapter 18, Smart Card" Peter Wayner, Softbank Books, September 20, 1997, pgs. 171-184, English Translation (27 pgs. 1-27).
<u>KDF</u>	AK	"All About Digital Money", Chapter 6, IC Card, Nikkei Business Publications, Inc., NIKKEI DIGITAL MONEY SYSTEM, INDEPENDENT VOLUME, 1997, pgs. 241-265, English Translation (33 pgs. 1-33),
Examiner	Date Considered 2/6/04	



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): Koken Yamamoto, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 3624

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
<u>KOF</u>	AA	4,731,842	Peter R. Smith	3/15/88	380 / 024	
<u>KOF</u>	AB	5,577,121	Terry L. Davis	11/19/96	380 / 02	
<u>KOF</u>	AC	6,182,900 B1	Gerhard Wiehler	2/6/2001	235 / 492	
	AD					
	AE					

RECEIVED
SEP 17 2003
GROUP 3600

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
<u>KOF</u> AF DE 44 06 602 A1	9/7/95	Germany	NO
<u>KOF</u> AG EP 0 807 907 A1	11/19/97	EP	
<u>KOF</u> AH DE 197 10 249 A	9/17/98	Germany	NO
<u>KOF</u> AI EP 0 889 449 A1	1/7/99	EP	NO

OTHER DOCUMENTS

	AK	
	AL	
Examiner <u>[Signature]</u>	Date Considered	2/6/04